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Abstract: Since 1990s increased frequency of stratification collapse events in the Gulf of Finland has been noticed, when density difference between near-bottom and surface waters fell below 0.5 kg m^{-3} . Such stratification crashes occur in winter months, from October-November to March-April when saline and thermal stratification decrease compared to the summer period according to the well-known seasonal cycle. The stratification decay process is forced primarily by the (1) westerly-southwesterly wind stress, causing anti-estuarine straining, and (2) direct wind mixing proportional to the wind speed cubed. The potential energy anomaly is occasionally reduced from the average winter level 70 J m^{-3} nearly to zero, manifesting the stratification collapse, when the work by current straining and the wind mixing work exceed significantly their average levels. Increased collapse frequency is caused by the shift of wind forcing. Namely, the average bimonthly cumulative westerly-southwesterly wind stress in December and January has increased from $1.7 \text{ N m}^{-2} \text{ d}$ during 1962-1988 to $3.7 \text{ N m}^{-2} \text{ d}$ during 1989-2007. The bimonthly wind mixing work per unit surface area has also increased from 7.5 kJ m^{-2} to 12.1 kJ m^{-2} from 1999 onwards.

1 **Increased frequency of wintertime stratification collapse events in the Gulf of Finland**
2 **since 1990s**

3

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11

12 **Abstract**

13

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15 been noticed, when density difference between near-bottom and surface waters fell below 0.5
16 kg m⁻³. Such stratification crashes occur in winter months, from October-November to March-
17 April when saline and thermal stratification decrease compared to the summer period
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19 primarily by the (1) westerly-southwesterly wind stress, causing anti-estuarine straining, and
20 (2) direct wind mixing proportional to the wind speed cubed. The potential energy anomaly is
21 occasionally reduced from the average winter level 70 J m⁻³ nearly to zero, manifesting the
22 stratification collapse, when the work by current straining and the wind mixing work exceed
23 significantly their average levels. Increased collapse frequency is caused by the shift of wind
24 forcing. Namely, the average bimonthly cumulative westerly-southwesterly wind stress in
25 December and January has increased from 1.7 N m⁻² d during 1962-1988 to 3.7 N m⁻² d
26 during 1989-2007. The bimonthly wind mixing work per unit surface area has also increased
27 from 7.5 kJ m⁻² to 12.1 kJ m⁻² from 1999 onwards.

28

29 Keywords: estuary; current straining; wind mixing work; destratification; wind regime
30 change; Baltic Sea; Gulf of Finland.

31

32 Highlights:

33 • During winter, the stratification is occasionally collapsed and well-mixed state occurs

34 in the Gulf of Finland, an estuarine basin of the Baltic Sea.

35 • Potential energy anomaly of the water column is reduced by anti-estuarine current
36 straining due to westerly-southwesterly winds and wind mixing work.

37 • Since 1990s, the work by wind in reducing the potential energy anomaly has
38 increased, explaining the increased frequency of stratification collapse events.

39

40

41 1. Introduction

42
43 Kullenberg (1981) has noted, among others, that the Gulf of Finland (Fig. 1) is a “true
44 estuarine embayment” of the Baltic Sea multi-basin brackishwater system. With its
45 dimensions (about 400 km length, from 48 to 135 km width over most of the length), low
46 salinity at the entrance (from 6–7 at the surface to 8–11 psu in the bottom layers below 80-100
47 m) and almost missing tides, the gulf is, however, quite unique among the world estuaries
48 (Hansen and Rattray, 1966; see also the reviews by Alenius et al., 1998; MacCready and
49 Geyer, 2010). The gulf has in the west a free 60-km wide and about 90-m deep connection to
50 the Baltic Proper, the central basin of the system that undergoes in its northern part large
51 variations of the stratification (e.g. Matthäus, 1984; Elken et al., 2006). River discharge is
52 concentrated in the eastern part of the gulf, where the Neva River drains at the estuary head
53 on the average $2400 \text{ m}^3 \text{ s}^{-1}$ of freshwater, about 2/3 of the whole freshwater import to the gulf.
54 Despite the large dimensions, compared to the internal Rossby radius (typical scales from 2 to
55 4 km, Alenius et al., 2003) and variable cyclonic circulation with a number of loops, eddies,
56 fronts and upwelling events (Pavelson et al., 1997; Lehmann et al., 2002; Andrejev et al.,
57 2004; Zhurbas et al., 2008; Lips et al., 2009, Elken et al., 2011), the along-basin salinity and
58 density gradients are still well profound, especially when studied on the basis of temporally
59 mean values over the seasons.

60 Salinity and stratification of the Gulf of Finland undergo strong seasonal variations
61 (Haapala and Alenius, 1994). To the period of highest thermal stratification in summer, after
62 the spring maximum of freshwater discharge, the surface salinity is decreased from the winter
63 values of about 6.5 psu down to about 5.5 psu in the central part of the gulf. At the same time,
64 the deep salinity at around 90-m depth is increased from about 7.5 psu to about 10 psu. While
65 decrease of surface salinity during and after the period of high river discharge is a common
66 feature of most of the estuaries (e.g. van Aken, 2008; Kimbro et al., 2009; Hong et al., 2010),
67 then simultaneous increase of deep salinity is quite unique. The latter can be partly explained
68 by the seasonal conditions of the adjacent larger sea basin, the Northern Baltic Proper (e.g.
69 Matthäus, 1984), where below 80 m the intra-annual salinity variations can exceed 1 psu and
70 the lowest deep salinity is usually observed during the winter.

71 Interannual changes of the oceanographic conditions of the Gulf of Finland reflect the
72 variations in the large-scale forcing factors. A specific feature of the Baltic Sea is an
73 intermittent nature of the large inflows of highly saline water from the North Sea (MBI-s,
74 Major Baltic Inflows after Matthäus and Franck, 1992). With stronger and shallower halocline
75 in the Baltic Proper, more saline water can be transported to the Gulf of Finland, increasing
76 the strength of stratification and the extent of “salt wedge”. During the stagnation periods,
77 when MBI-s are missing for many years, the deep waters below the halocline (depth about 60-
78 80 m in the eastern Baltic Proper) may become anoxic due to the absence of lateral advection
79 of oxygen-rich water. Following the MBI-s, anoxic bottoms will disappear, but the area of
80 low-oxygen (hypoxic) bottoms will increase because the halocline is usually lifted up (Conley
81 et al., 2009). For the longest stagnation period 1977–1993, Kahru et al. (2000) noted that “in
82 contrast to the Baltic Proper, in the Gulf of Finland, the stagnation period resulted in increased
83 oxygen levels through enhanced vertical mixing arising from decreased salinity and weaker
84 vertical stratification”. Laine et al. (2007) have identified on the basis of monitoring data
85 1965-2000 similar long-term tendencies: decrease in salinity and density stratification until
86 the early 1990s and a slight increase afterwards. In the oxygen content, opposite trends took
87 place. Vermaat and Bouwer (2009) have proposed that reduced ice extent during the recent
88 period has favored vertical mixing, causing reduction of the extent of hypoxic bottoms.

89 Among the complex ventilation processes (Meier et al., 2006), convection due to
90 surface cooling, turbulent erosion from surface towards deeper layers and turbulent shear

91 mixing are usually considered as most important in the Gulf of Finland. During the summer, a
92 significant decay of observed stratification has been explained by persistent south-westerly
93 winds, creating a temporary estuarine circulation reversal (Elken et al., 2003). With reference
94 to other estuaries, Blumberg and Goodrich (1990) showed for the Chesapeake Bay that wind-
95 induced current shear is more effective in destratification than surface-generated turbulence.
96 In the framework of estuarine dynamical concepts, Scully et al. (2005) also pointed to the role
97 of wind-induced current straining, interacting with along-basin density gradients.

98 During the winter, the period of weakest stratification in the Gulf of Finland, the
99 observational data are quite rare. Still, events of complete stratification collapse (reaching the
100 well-mixed state) can be frequently observed during recent winters. These situations, when
101 bottom to surface density difference (and consequently, potential energy anomaly) reduce
102 drastically close to zero, cannot persist over longer times since longitudinal gradients of mean
103 density restore the stratification.

104 The paper is aimed to study the stratification collapse events in the Gulf of Finland
105 and to find the governing mechanisms for such events. Further on, we study, whether the
106 collapse events likely occur also during non-sampled times. It is also interesting to know, if
107 there is a change of frequency of complete destratification, related to the changing climate
108 factors.

109 Our hypothesis is that purely vertical mixing processes in the Gulf of Finland are not
110 always strong enough to make the complete destratification as observed, and wind-induced
111 current straining is important. To evaluate the role of different mechanisms, we use the
112 balance for vertically integrated potential energy anomaly (Simpson et al., 1990; Burchard
113 and Hofmeister, 2008; Wang et al., 2011). The “normal” wintertime potential energy anomaly
114 (PEA) values are determined from the hydrographic observations and they reflect the average
115 forcing conditions. We study further PEA change due to current straining and direct wind
116 mixing, using the data from long-term wind observations. Straining effect is estimated from
117 the established correlation between the specific wind stress component and the time-
118 dependent amplitude of the “strain” EOF mode of along-basin currents. The wind-to-EOF
119 dependency is found from a numerical model. Effect of direct wind mixing is evaluated from
120 the cubic relation to the wind speed. Changes of PEA are calculated for the ice-free periods of
121 each winter. The paper ends with the discussion of the relation of PEA changes to the climatic
122 forcing data.

124 2. Data and methods

126 2.1. Observational data

128 The basic data set is about stratification in the Gulf of Finland. We used long-term
129 data of hydrographic observations 1900–2010 extracted from the ICES international database.
130 The data are mainly from the standard depths (*e.g.* Haapala and Alenius, 1994; Janssen et al.,
131 1999). Deeper layers have less data coverage than the surface, especially in the first half of
132 the period. We focused on the data around HELCOM monitoring station BMP F3 (historically
133 known also as LL7, $\varphi = 59.8465^\circ\text{N}$, $\lambda = 24.8378^\circ\text{E}$) with a 15 km radius. This station is
134 located in the deepest part of the central area of the gulf near the transect Tallinn-Helsinki,
135 with the depth range 80–110 m. The ICES data were complemented with 38 profiles from
136 national CTD data set, observed since 1984. They were also converted to the standard depths,
137 to keep homogeneity with historical data.

138 The main aim of the analysis was to characterize the strength of stratification over
139 time, especially during the winter period. The basic approach is to investigate the near-bottom
140 ρ_b to surface ρ_s density difference $\rho_b - \rho_s$, as can be found in many studies (*e.g.* Laine et

141 al., 2007). For the deep values, we used the closest depth to 70 m below that value. In total we
142 obtained 47 values of $\rho_b - \rho_s$ in December and January since 1975.

143 Strength of variable stratification can be more precisely (in terms of dynamic
144 equations) characterized by the potential energy anomaly (PEA), the potential energy of the
145 water column of a thickness H relative to the well-mixed state when the initial density ρ
146 takes the depth-mean value
147

$$148 \quad \bar{\rho} = \frac{1}{H} \int_{-H}^0 \rho dz . \quad (1)$$

149
150 Following the definitions, *e.g.* by Simpson (1981) and Simpson et al. (1990), PEA per
151 unit volume of the water column (J m^{-3}), as work needed to convert the water column into a
152 well-mixed state, is
153

$$154 \quad \varphi = \frac{g}{H} \int_{-H}^0 (\bar{\rho} - \rho) z dz . \quad (2)$$

155
156 PEA is zero for the well-mixed state and positive for stable stratification.

157 The main question in PEA calculation is to refer the data into fixed levels (compared
158 to slightly variable levels in observational data). We used linear interpolation/extrapolation to
159 the levels of 0 m and 70 m, when the depth difference with the sampled value did not exceed
160 5 m. Only profiles with at least 7 data points between 0 and 70 m were taken into account.
161 Unfortunately, there were no data in 1915–1920 and 1940–1953 that could meet the above
162 criteria.

163 For the evaluation of wind forcing we used the 3-hourly wind observations at Utö
164 meteorological station provided by Finnish Meteorological Institute since 1961. The station is
165 located on the island at the entrance to the Gulf of Finland (Fig. 1) and presents well the wind
166 conditions over the open part of the Gulf of Finland (Soomere and Keevallik, 2003). In order
167 to take into account variable ice conditions at wind forcing during the winter, we used
168 digitized ice charts from the archive of Estonian Meteorological and Hydrological Institute
169 (Pärn and Haapala, 2011).
170

171 **2.2. Model data**

172
173 We used the data from 10-years simulation 1997–2006 made by the General Estuarine
174 Transport Model (GETM) described in detail by Burchard et al. (2004). The model setup used
175 25 sigma layers with horizontal resolution of the model grid 2 nautical miles for the whole
176 Baltic Sea. The bathymetry has been interpolated on the computation grids from the digital
177 topography by Seifert et al. (2001). Depths have been adjusted so that the maximum depth is
178 260 m. Initial temperature and salinity fields were constructed using the Data Assimilation
179 System coupled with the Baltic Environmental Database at the Stockholm University
180 (<http://nest.su.se/das>). Atmospheric forcing (wind stress and heat flux components) with 3-h
181 intervals was adopted from ERA40 re-analysis data dynamically downscaled with the Rossby
182 Centre Atmosphere Ocean model (Döscher et al., 2010). For sea level elevations at the open
183 boundary in the northern Kattegat 1-hourly averaged measurements at Smøgen (Sweden)
184 were used. Salinity and temperature at the open boundary have been adopted from the
185 climatological mean fields by Janssen et al. (1999). The model output during the long-term

186 run was written with 1 day interval, which is adequate for temperature and salinity but does
187 not represent short-term current variations.

188 Variations of currents and sea level were investigated from the results by operational
189 HIROMB model (*e.g.* Lagemaas et al., 2011). The finest grid of the setup by Swedish
190 Meteorological and Hydrological Institute has a resolution of 1 nautical mile. Vertical fixed
191 levels have layer thickness from 4 m near the surface to 15 m near 100-m depth. We used
192 hourly output data for 2005–2009 to study the EOF modes of currents in relation to wind
193 forcing.

194

195 **3. Results and discussion**

196

197 **3.1. Observational evidence of temporary stratification collapse**

198

199 Re-inspection of historical hydrographic time series in the Gulf of Finland has drawn
200 our attention to the “missing stratification” profiles during the winter. We collected the
201 profiles from December and January as shown in Fig. 2. On the background of normal
202 (weaker than during the summer) stratification, several vertically nearly constant profiles can
203 be identified. One can suspect the instrument failure during ice conditions. According to the
204 database, such unusual profiles were carefully checked. For example in 14 January 2000,
205 Finnish research vessel ARANDA made 3 consecutive profiles at station BMP F3, all
206 exposing the same stratification collapse. Events of well-mixed state are occasionally
207 observed during the winter, but they are not so easy to catch because of historically low
208 sampling frequency.

209 We define the stratification collapse as an event when bottom-to-surface density
210 difference becomes less than 0.5 kg m^{-3} . Time series of bottom-to-surface density difference,
211 observed at station BMP F3 during December and January (Fig. 3) reveals 11 winters with
212 collapse out of 26 winters when observations were available. We note again the poor data
213 availability during the winter; several winters have no observations due to the hard navigation
214 conditions during ice cover and/or winter storms. Also, collapse is a short-term event that may
215 be not caught by irregular and sparse sampling.

216 In order to quantify the stratification collapse in terms of potential energy anomaly
217 (PEA), we calculated the PEA values in a 15 km radius around the station BMP F3, based on
218 hydrographic observations from 1901 onwards. In summer the PEA values are higher than
219 during the winter, and the highest value 360 J m^{-3} was found during the summer 1930. The
220 very high values, above 300 J m^{-3} , were also observed during the summers 1970, 1973, 1980,
221 1983, 1988, 1994 and 2004–2006. Compared to the beginning of the 20th century, the PEA
222 variation range has slightly increased: Summer maximums increased from 280 to 350 J m^{-3}
223 and winter minimums decreased from 20 J m^{-3} to nearly zero. Seasonal PEA cycle for the
224 whole observational data set is presented in Fig. 4. The median PEA in winter is about 70
225 J m^{-3} but the variation range is from nearly zero to 160 J m^{-3} . The stratification collapse may
226 be defined as the PEA value below 30 J m^{-3} . Such values were observed 44 times during the
227 low stratification season from October to April, most frequently in January (13 times).

228

229 **3.2. Evaluation of wind-induced current straining and direct mixing**

230

231 We are further interested to evaluate the role of different dynamical factors in creating
232 wintertime destratification and eventual stratification collapses. Here we consider the main
233 assumptions of potential energy conversion.

234 Change of potential energy anomaly (PEA) is governed by the energy conversion
235 budget (W m^{-3})

236

$$\frac{\partial \varphi}{\partial t} = \frac{\partial \varphi_s}{\partial t} + \frac{\partial \varphi_w}{\partial t} + \frac{\partial \varphi_Q}{\partial t} + D_\varphi, \quad (3)$$

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where the terms of our interest (further evaluated step by step) are: φ_s is the work done by current straining (vertically differential lateral advection on the background of mean estuarine gradients), φ_w denotes the work by direct vertical (wind) mixing, φ_Q is the work done by heating or cooling of the water surface and D_φ reflects the power of other processes in change of PEA. Complete derivation of (3) has been given by Burchard and Hofmeister (2008).

The power from current straining (work per unit time) can be estimated

$$\frac{\partial \varphi_s}{\partial t} = \frac{g}{H} \frac{\partial \bar{\rho}}{\partial x} \int_{-H}^0 (u - \bar{u}) z dz, \quad (4)$$

248

249

250

where

$$\bar{u} = \frac{1}{H} \int_{-H}^0 u dz \quad (5)$$

252

253

254

is the vertical mean (over depth H) of along-basin velocity u . Positive values of (4) mean strengthening of stratification.

255

In (4) we assume constant along-basin density gradient over the depth and time.

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260

Indeed, long-term mean $\frac{\partial \rho}{\partial x}$ is about $-4.5 \cdot 10^{-6} \text{ kg m}^{-4}$ in the surface and deep layers by the results of climatic simulations using the GETM model, somewhat smaller gradients are found in the mid-depths.

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The long-term mean of the vertically averaged current $\langle \bar{u} \rangle$ is due to the river discharge, distributed over the cross-section area of the basin. In the Gulf of Finland, taking the section area 3 km^2 (Elken et al., 2011) and mean river discharge $3600 \text{ m}^3 \text{ s}^{-1}$, the depth-mean current is negligible - about 0.12 cm s^{-1} . On shorter time scales, variations of \bar{u} are related to the basin volume changes due to fluctuating mean sea level changes. Within the time scales of weeks and months, the mean sea level of the Gulf of Finland may vary together with the overall Baltic sea level by about 0.5 m in 10 days (neglecting the short-term storm pulses and Baltic Sea seiches), yielding the variability range of \bar{u} about 0.5 cm s^{-1} (the gulf surface area is about $29,500 \text{ km}^2$). Baroclinic currents have much higher speed range of $10\text{--}20 \text{ cm s}^{-1}$ and their contribution to PEA evolving is of primary interest.

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Further we want to estimate time series of (4) over a long period using only the wind data. Elken et al. (2011) have shown that along-basin currents can be decomposed on the cross-basin transects of the Gulf of Finland by the EOF analysis into a “flat” barotropic mode (unidirectional over the whole water column, 23%–42%, correlated with the short-term volume changes), a two-layer mode (surface Ekman transport with the deeper compensation flow, 19%–22%, correlated with the southwesterly wind stress component) and a number of other modes. While the 1st mode resembles an analogue to the tidal effects, then the 2nd mode can produce wind induced current straining. In order to evaluate the time- and depth-

279 dependent along-basin current component $u(z, t)$ in (4), we recalculate the EOF modes (Fig.
 280 5) from the hourly HIROMB model data over two winter months from December 2005 to
 281 January 2009. For that purpose we take the initial data as cross-basin averages of $u - \bar{u}$ over
 282 the deeper part of the basin with depths more than 50 m, in order to exclude coastal currents.
 283 We use the decomposition

$$285 \quad u(z_k, t_n) = \langle u \rangle_k + \sum_{m=1}^M A_m(t_n) F_m(z_k), \quad (6)$$

286
 287 where $\langle u \rangle_k$ is the temporally mean vertical profile of current, $F_m(z_k)$ are dimensional
 288 EOF modes ($m = 1 \dots M$ is the mode number, with M equal to the number of vertical data
 289 points K , $k = 1 \dots K$) and $A_m(t_n)$ are non-dimensional amplitudes, to yield the unit standard
 290 deviation over the whole time period. We have calculated the EOF modes for two longitudinal
 291 sections, along 23.65°E (section A, at the gulf entrance) and 24.38°E (section B, near BMP
 292 F3). The amplitude of the 2nd mode $A_2(t_n)$ has quite good correlation with the southwesterly
 293 wind stress component at both of the sections. Namely, on section B the correlation squared
 294 R^2 is significantly above 0.5 for the wind directional range 190° – 250° (positive slope,
 295 maximum $R^2 = 0.60$ for directions 210° – 220°) and 10° – 60° (negative slope) and the
 296 correlation is missing (below 0.1) for directions 290° – 330° and 110° – 150° . Amplitudes from
 297 section A are highly correlated with those from section B. The high-correlation regression
 298 is $A_m(t_n) = a_s c_D \rho_a W_{sw}(t_n) W(t_n)$, where a_s is the empirical slope (6.8 and $7.8 \text{ m}^2 \text{ N}^{-1}$ for the
 299 A and B, respectively), W_{sw} is the southwesterly wind speed component, W is scalar wind
 300 speed and $\rho_a = 1.2 \text{ kg m}^{-3}$ is the density of air and $c_D = 1.3 \cdot 10^{-3}$ is drag coefficient. The value of
 301 integral in expression (4) over 90-m depth, using the 2nd EOF mode as shown in Fig. 6, is
 302 about $78 \text{ m}^3 \text{ s}^{-1}$ for both of the sections. Consequently, the estimate for power from wind
 303 straining per unit surface area is

$$305 \quad \frac{\partial \Phi_s}{\partial t} = H \frac{\partial \varphi_s}{\partial t} = A_s g \frac{\partial \bar{\rho}}{\partial x} c_D \rho_a W_{sw} W. \quad (7)$$

306
 307 The site-specific value of A_s has been estimated empirically from the HIROMB model
 308 results. We adopt $A_s = 570 \text{ m}^4 \text{ s kg}^{-1}$ since the individual values on sections A and B are 530
 309 and $610 \text{ m}^4 \text{ s kg}^{-1}$, respectively. Within this estimate, the southwesterly wind stress
 310 $\tau_{sw} = c_D \rho_a W_{sw} W$ of 0.1 N m^{-2} (wind speed about 8 m s^{-1}) creates anti-estuarine straining
 311 power of $-2.5 \cdot 10^{-3} \text{ W m}^{-2}$, *i.e.* weakening of stratification. Power from straining by $\langle u \rangle_k$ is only
 312 $0.4 \cdot 10^{-3} \text{ W m}^{-2}$ and we have omitted it in (7). During the EOF calculation period, the mean
 313 wind vector was from south-west with a speed 3.3 m s^{-1} , superimposed by isotropic wind
 314 vector variations with standard deviation 6.2 m s^{-1} . Therefore the mean eastward current at the
 315 surface as shown in Fig. 6 reflects the Ekman drift.

316 PEA is reduced by mixing through a chain of processes that depend on the wind (the
 317 term φ_w in (3)). Mixing power per unit surface area, generated by wind, can be estimated in
 318 cubic formulation from the wind speed W

$$320 \quad \frac{\partial \Phi_w}{\partial t} = H \frac{\partial \varphi_w}{\partial t} = -\gamma c_D \rho_a W^3, \quad (8)$$

321
 322 where $\gamma = 10^{-3}$ is non-dimensional mixing efficiency parameter (see the discussion by
 323 Pavelson et al., 1997). As an example of the range of values, for reducing the average winter
 324 PEA level 70 J m^{-3} of the 70-m layer to zero in a weekly stormy period, (constant) W must
 325 exceed 17 m s^{-1} .

326 Temperature lies during winter within a few $^{\circ}\text{C}$ around the temperature of maximum
 327 density. Therefore changing temperature has negligible effect on water density and the work
 328 done by heating or cooling of the water surface (φ_Q in (3)) may be omitted in the PEA
 329 balance.

330 At present stage of knowledge, the contribution of other processes (D_φ in (3)) is
 331 unknown in the Gulf of Finland. We use later the assumption that stratification restoring by
 332 D_φ is over the time average in balance with mean destratifying terms by straining and wind
 333 mixing.

334 The relations (7) and (8) can be interpreted using a specific case of two stratification
 335 situations given in Fig. 6 along the main axis of the gulf, simulated using the GETM model.
 336 From 27 November to 12 December 1999, after 15 days of strong southwesterly winds with
 337 speeds $10\text{--}15 \text{ m s}^{-1}$ (according to the model forcing data), the salt wedge lying under the
 338 halocline was considerably pushed out from the gulf, the halocline got deeper and/or
 339 disappeared. As calculated from the modeled density distributions, PEA was reduced at
 340 section A (23.65°E) by about 120 J m^{-3} but remained unchanged at section B (24.38°E) and
 341 eastward from it. Average PEA reduction in the western half of the Gulf of Finland can be
 342 estimated 60 J m^{-3} , for the surface area of the whole water column it gives the estimate 5.4 kJ
 343 m^{-2} . The southwesterly wind impulse (cumulative wind stress) was $1.2 \text{ N m}^{-2} \text{ d}$, giving
 344 according to (7) PEA reduction due to wind straining 2.6 kJ m^{-2} . Time integration of (8) gave
 345 PEA reduction due to direct wind mixing 2 kJ m^{-2} . The sum of these two estimated work
 346 amounts corresponds roughly to the calculated loss of PEA. From this example we learnt, that
 347 expressions (7) and (8) do not resolve the PEA change details in space and time, but they can
 348 be used for gross estimates of PEA reduction due to anti-estuarine wind straining and direct
 349 wind mixing. We also found, that in the particular model case the straining work slightly
 350 exceeded the wind mixing work.

351 352 **3.3. Time series evaluation of wind effects on PEA**

353
 354 Based on the relations (7) and (8), we estimate contributions of wind-induced current
 355 straining and direct wind mixing in changing PEA during the winter months from December
 356 to January. Based on the hourly wind data observed at Utö meteorological station during
 357 1961-2007, we have made time integrations of wind-dependent terms for each winter.

358 According to (7), cumulative westerly-southwesterly wind stress (wind impulse)
 359

$$360 \quad T_{sw} = \int_0^t \tau_{sw} dt = c_D \rho_a \int_0^t W_{sw} W dt \quad (9)$$

361
 362 of $1 \text{ N m}^{-2} \text{ d}$ creates PEA change $\Delta\Phi_s$ due to the work by straining by about 2 kJ m^{-2} ,

$$363 \quad \Delta\Phi_s = A_s g \frac{\partial \bar{\rho}}{\partial x} T_{sw}. \quad (10)$$

365

366 The wintertime bimonthly wind impulse time series (Fig. 7) reveal remarkable
 367 changes, from -2 to $8 \text{ N m}^{-2} \text{ d}$. On the average, the westerly-southwesterly wind impulse
 368 $T_{sw}(t)$ is positive. Exceptions are the winters 1966 (year taken by January), 1967, 1977, 1979,
 369 1982, 1985 and 1987 when northeasterly wind stress dominated over two-month period. Since
 370 the winter 1988, the wind impulses have been only positive, favoring anti-estuarine transport
 371 in the Gulf of Finland. Because $T_{sw}(t)$ is changing over time, we have also found maximum
 372 positive values of $T_{sw}(t)$ corresponding to maximum PEA reduction due to straining. From
 373 1989 onwards, westerly-southwesterly winds have dominated throughout the winter and
 374 maximum $T_{sw}(t)$ values are found at the end of January.

375 Using the shift detection technique by Rodionov (2004), the mean bimonthly westerly-
 376 southwesterly wind impulse is about $1.7 \text{ N m}^{-2} \text{ d}$ during 1962-1988 and about $3.7 \text{ N m}^{-2} \text{ d}$
 377 during 1989-2007. At the regime shift detection the cut-off length was set to 10 years and the
 378 Huber's weight parameter to 2. The latter means that the values exceeding two standard
 379 deviations from the regime are considered to be outliers and a certain weighing procedure is
 380 applied to them to estimate the average values of the regimes. In the present case, only one
 381 outlier was detected – in 1992. Setting the Huber's parameter to 1, the number of outliers
 382 increased to 12, but this procedure changed only the average values of the regimes and did not
 383 affect the switch-time of the shift. The chosen cut-off length of 10 years guarantees that the
 384 regimes that are longer will be detected, but detection of shorter regimes is not excluded.

385 Increase of mean bimonthly westerly-southwesterly wind impulse by $2 \text{ N m}^{-2} \text{ d}$
 386 corresponds to the PEA reduction $-\Delta\Phi_s$ by about 4.4 kJ m^{-2} and potentially might cause full
 387 destratification of the water column, if stratification restoring factors and changes in mixing
 388 intensity are not taken into account. Note that the observed average wintertime PEA level 70–
 389 100 J m^{-3} per unit volume corresponds to $5\text{--}7 \text{ kJ m}^{-2}$ per unit surface area of the 70-m water
 390 column.

391 PEA change due to direct wind mixing $\Delta\Phi_w$ is estimated by time integration of the
 392 relation (8)

$$394 \quad \Delta\Phi_w = -\gamma c_D \rho_a \int_0^t W^3 dt. \quad (11)$$

395
 396 On the average, the wintertime bimonthly wind mixing work (Fig. 8) for PEA
 397 reduction $-\Delta\Phi_w$ is frequently $5\text{--}7 \text{ kJ m}^{-2}$ but can exceed 15 kJ m^{-2} as observed in 2000 and
 398 2005. Higher $-\Delta\Phi_w$ values were observed also in the winters of 1965, 1993, 2004 and 2007.
 399 The applied regime shift detection technique revealed that the mean value about 7.5 kJ m^{-2} ,
 400 evident for 1962–1998, increased to 12.1 kJ m^{-2} from 1999 onwards. However, this regime
 401 shift is less reliable than the change observed in the westerly-southwesterly wind stress.

402 Effects of ice cover on wind mixing and currents are not well known, since they
 403 depend on the ice concentration, ice types, vicinity of coasts etc. Zhang and Leppäranta
 404 (1995) have noted that in ice conditions water piling-up is decreased and sea level slope,
 405 forcing the deep currents, may be reduced to $1/3$ of the value of ice-free slope in similar wind
 406 conditions. For the rough calculation, we assumed that free atmosphere-to-sea transfer occurs
 407 if less than 50% of the western Gulf of Finland is covered by ice, and blocking occurs at more
 408 extensive ice cover. The results, given in Figs. 7 and 8 show that high values of $-\Delta\Phi_s$ and
 409 $-\Delta\Phi_w$ occur in mild winters when the surface waters of the western gulf are nearly ice-free

410 until the end of January. During severe winters, the PEA reduction by straining $-\Delta\Phi_s$ was as
411 a rule much lower than the average value of the period.

412 For the independent interpretation, the PEA time series calculated from the GETM
413 model results for 1997–2006 showed minimum values during the winter. Average PEA level
414 per unit area was $5\text{--}7\text{ kJ m}^{-2}$, well in the range of observed values, but remarkable changes
415 occurred, from $1\text{ to }19\text{ kJ m}^{-2}$. Strongest stratification, with highest PEA was modeled for the
416 2003 severe winter with early ice cover. Low PEA values were modeled for the winters 1999,
417 2000 and 2005. While the first winter was not sampled, for the two latter cases the
418 stratification collapse was observationally also caught, since by the model data the low PEA
419 periods were quite long, several tens of days.

420 For full mixing of the water column, additional work by $5\text{--}7\text{ kJ m}^{-2}$ is needed to
421 change the “normal” situation. We assume that in the long-term average conditions, the
422 $\langle -\Delta\Phi_s \rangle$ value about 6 kJ m^{-2} and $\langle -\Delta\Phi_w \rangle$ value about 8 kJ m^{-2} are balanced by PEA
423 production due to the average freshwater discharge and average higher salinity/density of the
424 inflowing open sea waters. For the collapse forcing criterion, we need to find the value $\Delta\Phi_{cr}$
425 which exceeding $-\Delta\Phi_s - \Delta\Phi_w > \Delta\Phi_{cr}$ creates the event. Best match with the observed cases
426 was found for $\Delta\Phi_{cr} = 14\text{ kJ m}^{-2}$. Among the wintertime stratification observations in 23
427 winters during December and January, all the collapse cases satisfied this criterion, except for
428 the winter 1978. Therefore we consider that our approach to assess the stratification collapses
429 based only on the wind data is workable.

430 Based on the episodic time series of wintertime stratification observations (Fig. 3), we
431 have very rough collapse frequency estimation: during 1975–1989 from 9 winters with
432 available measurements, stratification collapse was evident during 3 winters, collapse
433 frequency $1/3$; in 1990–2008 we found 14 observed winters, whereas collapse was evident in 7
434 winters, frequency $1/2$. Within these low sample amounts, the frequency estimation is,
435 however, quite voluntary. Confidence of determination is increased by inclusion of estimates
436 of potential energy conversion from regular wind observations, presented above: we estimate
437 an increase of wintertime collapse frequency from 40% to 60%.

438

439 **3.4. Further interpretation and outlook**

440

441 Although increased mixing in the Gulf of Finland has been briefly noted in several
442 papers and data reports, the events of temporary stratification collapse have not got attention
443 so far. The reasons for such ignorance could be (a) difficult wintertime sampling logistics due
444 to ice cover and/or winter storms, and (b) problems of validating the numerical models in
445 reproducing the short-term stratification events (except for upwelling, that is modeled
446 adequately).

447 We have extended the knowledge from limited historical observations to the PEA
448 changes, using the relations to the wind forcing. One of the key findings is the approximate
449 relation of PEA reduction to the impulse (cumulative wind stress) of westerly-southwesterly
450 winds. This approach, derived from the EOF analysis of vertical current profiles and
451 correlative relation of the “straining” EOF mode amplitude to the wind stress projection, is in
452 agreement with earlier findings by Krauss and Brüggé (1991). They obtained that the transport
453 through the Stolpe Channel is proportional to the wind stress projected to a specific (not
454 necessarily along-channel) direction. For the Gulf of Finland, importance of southwesterly
455 wind on the forcing of along-basin transport was presented by Elken et al. (2003). While the
456 deep transport is geostrophically related to the cross-basin sea level slope, the slope relation to
457 the forcing wind stress remained empirical. In the present study we also had to use the site-
458 specific constants in relation (7). Feng and Li (2010) have found that in the estuary with an

459 open mouth, both wind components cause nearly equal sea level changes. Their model is an
460 extension of that by Garvine (1985). Forcing of sea level slopes in an elongated estuary has
461 been also considered by Reyes-Hernandez and Valle-Levinson (2010) and Hinata et al.
462 (2010). These results partly explain the found empirical wind direction relationship and also
463 motivate further studies of wind-forced motions in the Baltic Sea.

464 Considering the wintertime impulse of westerly-southwesterly winds, our analysis
465 shows that a shift has taken place in 1989. The chosen cut-off length of 10 years guarantees
466 that the regimes that are longer will be detected. The observed shift in wind regime supports
467 the increase of temporary reversals of estuarine circulation in the Gulf of Finland. Such a
468 change is caused by the larger scale climatic processes. Meier (2005) has found that recent
469 decrease of the average salinity of the Baltic is caused by the increase of zonal winds. It is
470 widely recognized that the western flow over North-East Europe has intensified during winter
471 months. Keevallik (2011) has shown that this intensification can partly be ascribed to an
472 abrupt increase in the upper-air zonal wind component in January and February around 1987.
473 Such an increase is accompanied by a shift in the meteorological regime at the surface:
474 During the period of 1987-1989 simultaneous shifts have taken place at the observation sites
475 in Estonia where the monthly mean zonal wind component, temperature and precipitation
476 have increased. Such findings are supported by other investigations that also suggest switch-
477 like changes in meteorological regime at the end of the 1980s (Lehmann et al. 2011, Kysely
478 and Domonkos 2006).

479 The results in the present paper are based on the routine hydrography and wind
480 observations and unfortunately lack any details of spatio-temporal features of stratification
481 collapse events. Therefore several detailed studies of wintertime dynamics are just started or
482 are in a planning phase. It is of significant interest to consider also ecological effects of abrupt
483 stratification dynamics. During strong stratification, hypoxic conditions may develop near the
484 bottom (Kahru et al., 2000; Laine et al., 2007; Conley et al., 2009), favoring release of
485 accumulated phosphorus from the sediments. But how, by which processes, the new near-
486 bottom phosphorus is transported to the euphotic layer when the stratification is strong?
487 Eventual collapse of stratification is likely one important process to bring the (before
488 collapse) bottom-released nutrients back into the active ecological cycle and to intensify
489 eutrophication and harmful algal blooms. On the other hand, if mixing throughout the water
490 column is intensive, hypoxia does not develop and benthic communities have favorable living
491 conditions. We hypothesize that climatic change of the dominance of the two stratification
492 collapse processes – wind-direction-dependent current straining and direction-independent
493 direct wind mixing – might have different consequences for the marine ecosystem health in
494 the Gulf of Finland: the first one amplifying the eutrophication and the second one improving
495 the living conditions for the benthic communities.

496 497 **4. Conclusions**

498
499 A measure of the stratification strength - potential energy anomaly (PEA) - has in the
500 central and western parts of the Gulf of Finland average wintertime value per unit surface area
501 about 5 kJ m^{-2} for the depth range of 70-80 m. This PEA level is balanced by the PEA
502 production due to the freshwater discharge and inflow of open sea waters of higher salinity
503 and density, and PEA reduction. The PEA is occasionally reduced nearly to zero, manifesting
504 the stratification collapse, when the wind mixing work and the work by current straining due
505 to southwesterly winds exceed significantly their average levels. In the bimonthly scale, in
506 December and January, the estimates for average work by current straining and wind mixing
507 are 6 and 8 kJ m^{-2} , respectively. These estimates are based on the observed time series of wind
508 and the derived relations for PEA change. The relations are validated by the results from

509 episodic hydrographic observations. During all the observed stratification collapse cases, the
510 wind-dependent PEA reduction work, with reference to the long-term average work, exceeded
511 the average PEA level. Since 1991, “overshoot” of PEA reduction compared to its average
512 level increased, implying longer duration of collapse events.

513 Analysis of wind data, using the derived and validated PEA relations, showed that since
514 1990s there has been increased frequency of stratification collapse events and increased
515 duration of such events. This change is most probably related to an abrupt increase in the
516 upper-air zonal wind component around 1987 in January and February over North-East
517 Europe that may form a significant part of the intensification of the western flow. As a result,
518 since the late 1980s the winter season of the Baltic Sea area tends to be warmer, with less ice
519 cover and warmer sea surface temperature. In Estonia, near the Gulf of Finland, abrupt
520 increase in the monthly mean zonal wind component and temperature has taken place in
521 January and February.

522

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524

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526 stratification” profiles, re-inspected in the course of preparing the model validation data set
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529 proposals of the grants No 9278 and 9382.

530

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654

655

656 **Figure captions**

657

658 Figure 1. A map of the Baltic Sea (a) and close-up of the Gulf of Finland (b). Locations of the
659 HELCOM monitoring station LL7/F3, Utö weather station and the main axis of the Gulf (red
660 line) are shown. The depth contours are drawn from the gridded topography (Seifert et al.
661 2001) in meters.

662

663 Figure 2. Salinity values observed in the Gulf of Finland during winter (December-January) at
664 station BMP F3 (dots), median and quartile values of all data (red lines) and profiles with
665 collapsed stratification (black lines).

666

667 Figure 3. Temporal course of winter (December–January) bottom-to- surface density
668 difference calculated from HELCOM monitoring data at location BMP F3 in 1976–2008.

669

670 Figure 4. Seasonal cycle of potential energy anomaly in the Gulf of Finland in a 15-km radius
671 from the central station BMP F3. Data from 1990-2008.

672

673 Figure 5. Mean along-basin current and dimensional EOF modes (to yield unit standard
674 deviation of time-dependent amplitude) along longitude 24.38°E for December and January
675 during 2005-2009.

676

677 Figure 6. Vertical distribution of salinity on the main axis of the Gulf of Finland on 27
678 November (a) and 12 December 1999 (b) (isohalines at 0.5 intervals) and potential energy
679 anomaly distribution (c) for 27 November (blue) and 12 December 1999 (red).

680

681 Figure 7. Temporal course of cumulative westerly-southwesterly component of wind stress
682 (positive eastward) calculated from Utö weather station data (filled bars) for the period
683 December–January in 1962–2007. Filled circles mark maximum cumulative wind stress
684 within the period December–January for each winter. Black bold line shows the mean value
685 using the Rodionov (2004) shift detection technique. Filled boxes on the *x*-axis show the
686 winters of at least 50% ice cover appearance in December–January in the western Gulf of
687 Finland.

688

689 Figure 8. Temporal course of wind mixing work over the period December–January (filled
690 circles) calculated from Utö weather station data in 1962–2007. Black bold line shows the
691 mean value using the Rodionov (2004) shift detection technique. Filled bars show wind
692 mixing work over the period from the beginning of December until at least 50% ice cover in
693 the western Gulf of Finland.

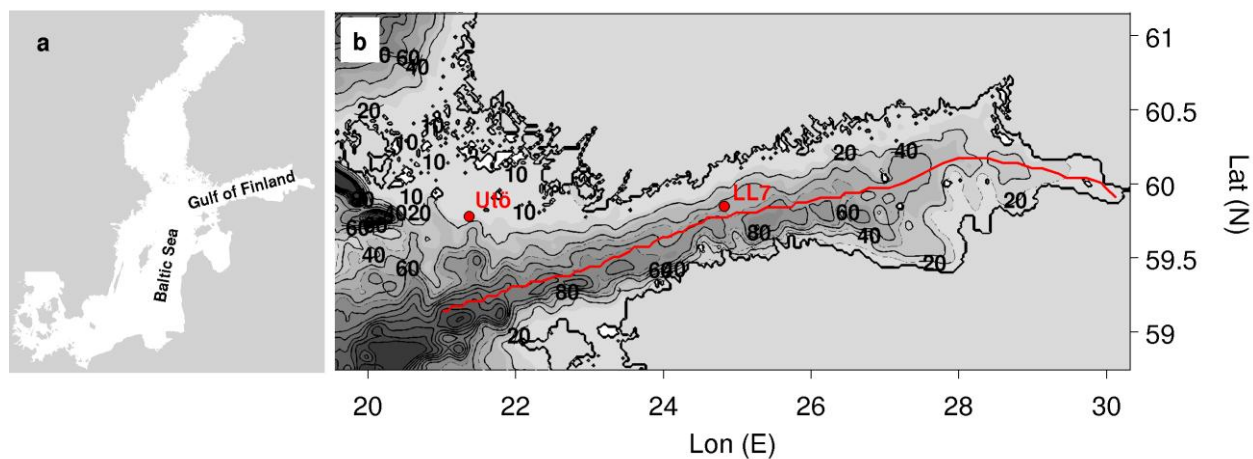


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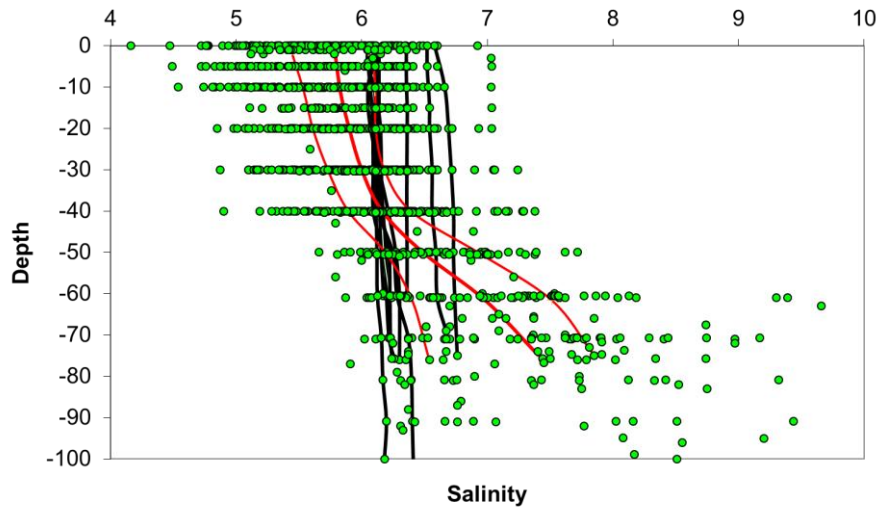


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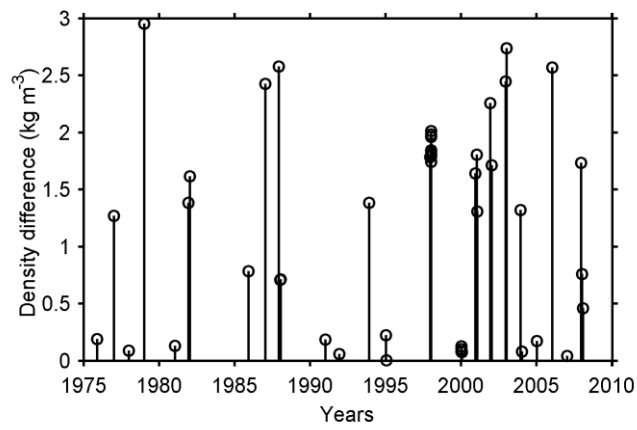


Figure 3. Temporal course of winter (December–January) bottom-to- surface density difference calculated from HELCOM monitoring data at location BMP F3 in 1976–2008.

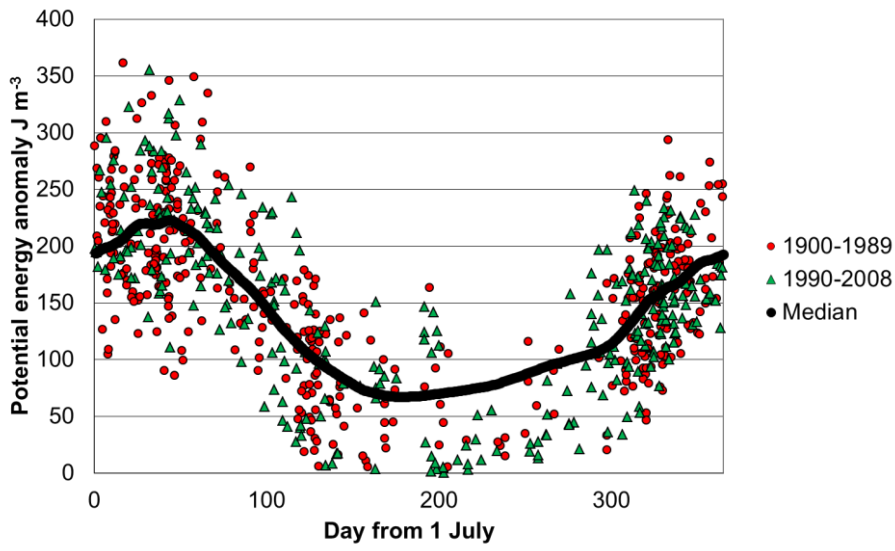


Figure 4. Seasonal cycle of potential energy anomaly in the Gulf of Finland in a 15-km radius from the central station BMP F3. Data from 1990-2008.

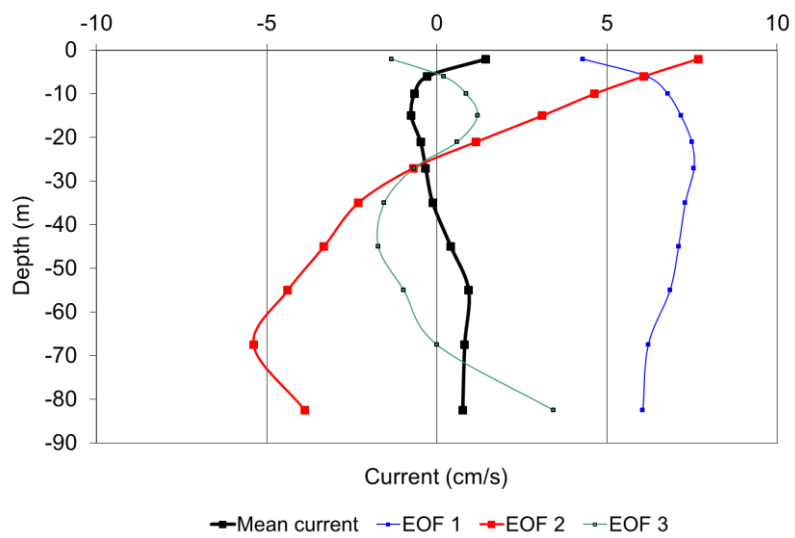


Figure 5. Mean along-basin current and dimensional EOF modes (to yield unit standard deviation of time-dependent amplitude) along longitude 24.38°E for December and January during 2005-2009.

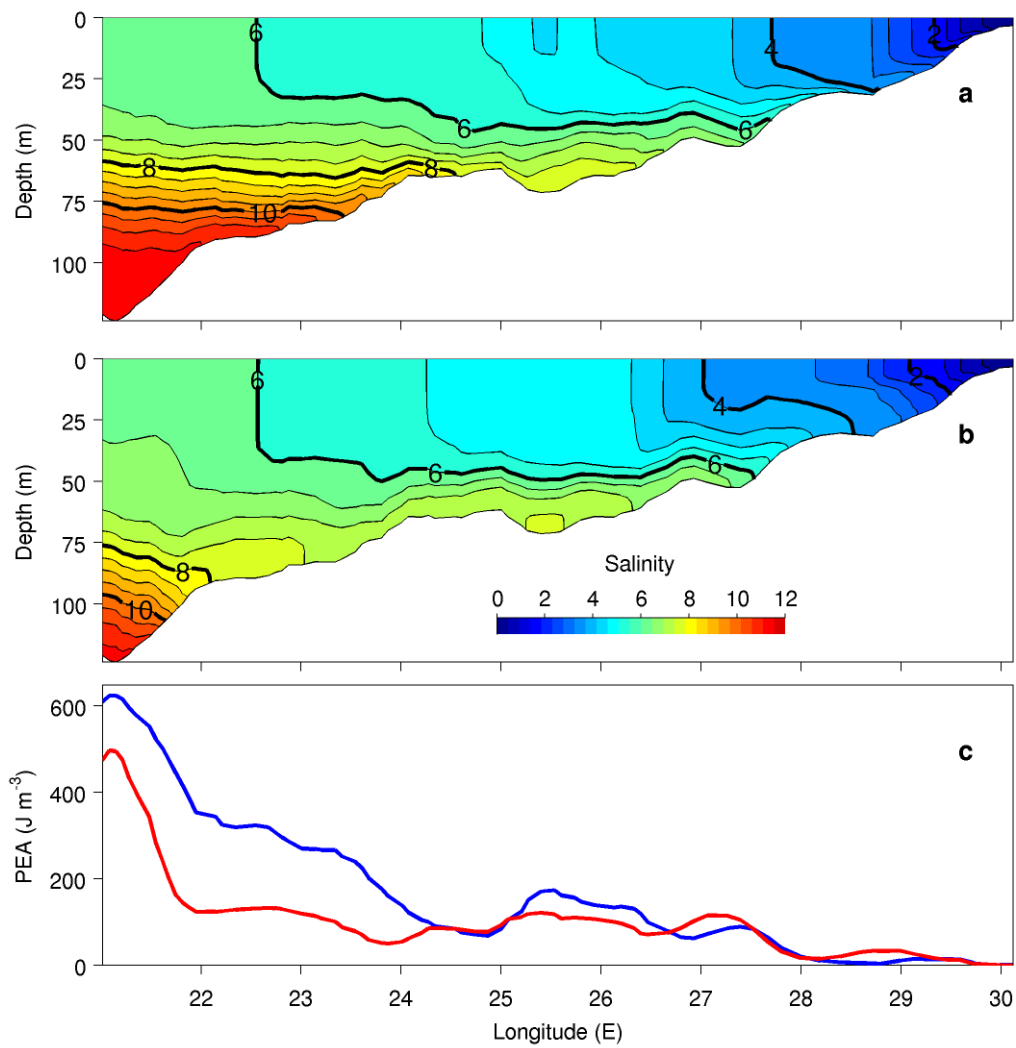


Figure 6. Vertical distribution of salinity on the main axis of the Gulf of Finland on 27 November (a) and 12 December 1999 (b) (isohalines at 0.5 intervals) and potential energy anomaly distribution (c) for 27 November (blue) and 12 December 1999 (red).

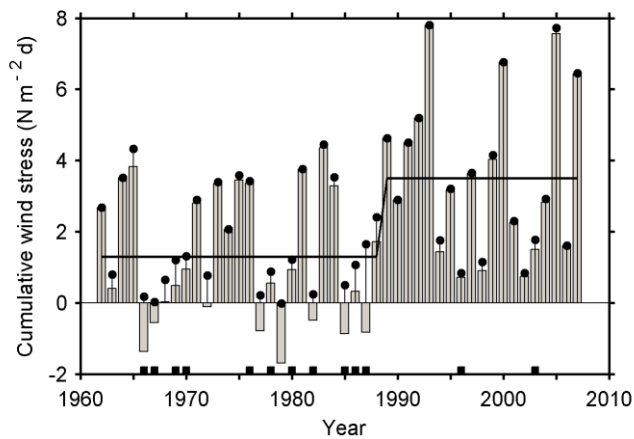


Figure 7. Temporal course of cumulative westerly-southwesterly component of wind stress (positive eastward) calculated from Utö weather station data (filled bars) for the period December–January in 1962–2007. Filled circles mark maximum cumulative wind stress within the period December–January for each winter. Black bold line shows the mean value using the Rodionov (2004) shift detection technique. Filled boxes on the x -axis show the winters of at least 50% ice cover appearance in December–January in the western Gulf of Finland.

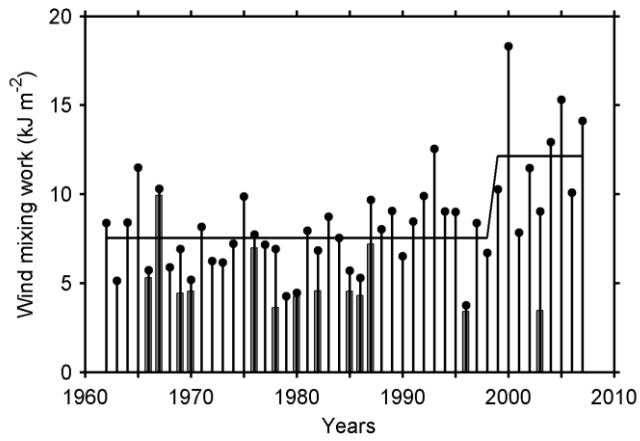


Figure 8. Temporal course of wind mixing work over the period December–January (filled circles) calculated from Utö weather station data in 1962–2007. Black bold line shows the mean value using the Rodionov (2004) shift detection technique. Filled bars show wind mixing work over the period from the beginning of December until at least 50% ice cover in the western Gulf of Finland.