

1 **Reconstructing the population dynamics of sprat (*Sprattus***  
2 ***sprattus balticus*) in the Baltic Sea in the 20<sup>th</sup> century**

3

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9 Long time-series of population dynamics are increasingly needed in order to understand  
10 human impacts on marine ecosystems and support their sustainable management. In this  
11 study, the estimates of sprat (*Sprattus sprattus balticus*) biomass in the Baltic Sea were  
12 extended back from the beginning of ICES stock assessments in 1974 to the early 1900s.  
13 The analyses identified peaks in sprat spawner biomass in the beginning of the 1930s,  
14 1960s, and 1970s at around 900 kt. Only a half of that biomass was estimated for the late  
15 1930s, for the period from the late 1940s to the mid-1950s, and for the mid-1960s. For  
16 the 1900s, fisheries landings suggest a relatively high biomass, similar to the early 1930s.  
17 The exploitation rate of sprat was low until the development of pelagic fisheries in the  
18 1960s. Spatially resolved analyses from the 1960s onwards demonstrate changes in the  
19 distribution of sprat biomass over time. The average body weight of sprat by age in the  
20 1950s–1970s was higher than at present, but lower than during the 1980s–1990s. The  
21 results of this study facilitate new analyses of the effects of climate, predation, and

22 anthropogenic drivers on sprat, and contribute to setting long-term management strategies  
23 for the Baltic Sea.

24

25 **Keywords:** Baltic Sea, historical data, population dynamics, sprat (*Sprattus sprattus*  
26 *balticus*).

27

28

## 29 **Introduction**

30 The developments towards practical implementation of an ecosystem-based approach to  
31 human use of marine resources (Backer and Leppänen, 2008; Siron *et al.*, 2008; Garcia  
32 and Prouzet, 2009) are setting increasing demands on our understanding of the marine  
33 ecosystem functioning and the impacts of multiple drivers on the ecosystems (Curtin and  
34 Prellezo, 2010; Samhuri *et al.*, 2011). Long-term datasets are recognized as valuable to  
35 improve our understanding of the ecosystem dynamics and possibly predict its future  
36 developments (O’Dor and Yarincik, 2003; Ainsworth and Pitcher, 2008; Poloczanska *et*  
37 *al.*, 2008). This is because longer time-series usually include larger contrasts and cover  
38 different combinations of natural conditions and human pressures, which may facilitate  
39 disentangling the effects of individual drivers and identifying how they interact (Rose,  
40 2004; Eero *et al.*, 2011). Understanding past dynamics could indicate how the system  
41 might respond to future changes in particular drivers as a result of policy developments or  
42 expected changes in the environment (Hansson *et al.*, 2007; MacKenzie *et al.*, 2011a).

43 In order to gain understanding of the ecosystem, historical information is most  
44 useful when it simultaneously covers the performance of multiple key components and

45 external drivers of the ecosystem. This could be the case for the central Baltic Sea, where  
46 a century-scale or longer-term information on several abiotic and biotic variables is  
47 available (e.g. Fonselius and Valderrama, 2003; Schneider and Kuss, 2004; Hagen and  
48 Feistel, 2005; Zillen *et al.*, 2008). For the upper trophic level, the abundance of marine  
49 mammals and the population dynamics of a major predatory fish, i.e. cod (*Gadus*  
50 *morhua*), have been reconstructed back to the 1900s (Harding and Härkönen, 1999) and  
51 the 1920s (Eero *et al.*, 2007; 2008), respectively. Additionally, some quantitative fishery  
52 information on cod is available since the 16<sup>th</sup> century (MacKenzie *et al.*, 2007a).  
53 However, information on stock sizes of forage fish, i.e. sprat (*Sprattus sprattus balticus*)  
54 and herring (*Clupea harengus membras*), is currently available only from 1974 onwards,  
55 estimated from ICES stock assessments.

56 Sprat currently constitutes the largest biomass (ICES, 2011a) and is one of the  
57 most important fish species in the food web of the open Baltic Sea. It interacts with cod  
58 through predator–prey relations (Sparholt, 1994; Köster and Möllmann, 2000), competes  
59 with herring for food resources (Möllmann *et al.*, 2005; Casini *et al.*, 2011), and has  
60 important structural roles in the Baltic ecosystem, for example via trophic cascades down  
61 the food web (Möllmann *et al.*, 2008; Casini *et al.*, 2009). Present knowledge of sprat  
62 dynamics in the Baltic Sea is largely based on a pronounced increase in biomass from a  
63 very low level in the 1980s to a record-high stock size in the mid-1990s, due to reduced  
64 cod predation and favourable temperature conditions for sprat reproduction (Köster *et al.*,  
65 2003a; MacKenzie and Köster, 2004). It is largely unknown how the population would  
66 develop under different combinations of climate, predator abundance, and human  
67 pressures.

68 Relative fluctuations in sprat biomass in the Baltic Sea in the 20<sup>th</sup> century have  
69 previously been addressed (e.g. Ojaveer and Kalejs, 2010), however mostly qualitatively.  
70 The first objective of this study was to gather the information scattered in various  
71 national and international publications and reports, in different languages, that could be  
72 used to quantify sprat stock dynamics in the Baltic Sea prior to 1974. The compiled data  
73 included sprat landings and their age compositions, individual weight-at-age, and sprat  
74 egg abundance. In a second step, these data were used to produce quantitative estimates  
75 of stock size from the 1970s back to the early decades of the 20<sup>th</sup> century. From the 1960s  
76 onwards, the analyses were conducted separately for three subregions in the Baltic Sea in  
77 order to resolve area-specific developments in sprat biomass over time.

78

## 79 **Material and methods**

### 80 **Extended analytical stock assessment**

81 Sprat in the Baltic Sea is currently assessed in ICES as one stock unit, covering ICES  
82 Subdivisions (SD) 22–32 (see Figure 1). In the years 1977–1988, the ICES Baltic Pelagic  
83 Working Group assessed the sprat in three units, corresponding to SD 22–25, 26 and 28,  
84 and SD 27 + 29–32 (ICES, 1990). In this study, both the aggregated as well as the area-  
85 specific developments in the sprat stock were addressed. Accordingly, the input data for  
86 standard age-based analytical stock assessment were compiled by the three subregions,  
87 i.e. SD 22–25, SD 26 and 28, and SD 27 + 29–32, which were subsequently combined for  
88 the assessment covering the entire stock. The input data included total landings, landings  
89 in numbers-at-age, weight-at-age, maturity ogives, and tuning information. The analytical  
90 assessment covered the years from 1956 to the present.

91

92 **Commercial landings-at-age**

93 Sprat landings in 1956–1969 were extracted from national statistics by country  
94 (Supplementary material, Table S1) and were thereafter combined with data on age  
95 compositions (Supplementary material, Table S2) to obtain annual landings in numbers-  
96 at-age. For the years 1970–1976, landings-at-age data were available from a former ICES  
97 Working Group on Assessment of Pelagic Stocks in the Baltic (ICES, 1990), for the three  
98 assessment units. From 1977 onwards, landings-at-age by SD were extracted from the  
99 multispecies assessment database (ICES, 1997), updated with data from the ICES Baltic  
100 Fisheries Assessment Working Group reports.

101

102 **Weight-at-age**

103 Data on sprat mean weight-at-age were compiled for the years 1953–1976 for the three  
104 assessment units (Supplementary material, Table S3). For the years 1977–2010, weight-  
105 at-age data were extracted from the multispecies assessment database (ICES, 1997) and  
106 from the ICES Baltic Fisheries Assessment Working Group reports. To obtain the  
107 average annual weights-at age-for the entire assessment area (SD 22–32), data for the  
108 three assessment units were averaged within a year, weighted by respective landings.  
109 Weight-at-age in the stock was assumed equal to the weight-at-age in the landings, which  
110 is a common practice for this stock in the assessments performed by ICES (ICES, 2011a).

111

112 **Natural mortality**

113 Natural mortality (M) of sprat in the Baltic Sea is dependent on the abundance of its main  
114 predator, i.e. cod (Sparholt, 1994). Annual predation mortalities of sprat from 1974  
115 onwards have been estimated by the ICES Working Group on Multispecies Assessment  
116 Methods (ICES, 2009). The average M of sprat for age groups 1–7 in SD 22–32 in 1974–  
117 2007 was highly correlated with the eastern Baltic cod spawning-stock biomass (SSB)  
118 ( $r^2=0.835$ ,  $p<0.01$ ). This regression was used to derive M values for SD 22–32 for the  
119 years 1956–1973 and 2008–2010, as cod SSB for these years was available (Eero *et al.*  
120 2007; ICES, 2011a). The area-specific information on sprat M was extrapolated from the  
121 area-disaggregated multispecies assessments conducted for SD 25, 26, and 28 for the  
122 period 1976–2003 by the ICES Study Group on Multispecies Assessment in the Baltic  
123 (ICES, 2005), described in detail in Section B of the Supplementary material.

124

#### 125 **Maturity ogives**

126 The constant age-specific maturity ogives used in ICES assessments (ICES, 2011a) were  
127 applied for all years and assessment units.

128

#### 129 **Assessment runs**

130 The assessments were performed using the standard XSA (Extended Survivors Analyses)  
131 method, which is used in ICES to assess sprat in the Baltic Sea. Four assessments were  
132 conducted, which included (i) a combined run for the entire Baltic Sea (SD 22–32) and  
133 three separate runs for (ii) SD 22–25, (iii) SD 26 and 28, and (iv) SD 27 + 29–32. The  
134 tuning information for the assessment for SD 22–32 was from the acoustic surveys in  
135 autumn and spring in 1991–2010 and 2001–2010, respectively, as used by ICES (ICES,

136 2011a). In separate runs by subregions, the sum of the acoustic indices for respective SDs  
137 was used (ICES, 2011b). The assessment for SD 27 + 29–32 used the indices only from  
138 the autumn surveys, as the acoustic data for spring were unavailable for this area.

139 In the assessments, SSB was calculated for spawning time, i.e. applying a fraction  
140 of 0.4 of the natural and fishing mortality before spawning, as done in the assessments by  
141 ICES (2011a). The estimates of SSB from the analytical assessments are presented from  
142 1960 onwards. This is because the information on age composition of landings for 1956–  
143 1959 was not fully representative for all areas (Supplementary material, Table S2) and  
144 only included information for age groups 1–6. The earliest cohort that was fully  
145 represented in the annual landings data for ages 1–10 was the 1955 year class, which  
146 allowed extending the recruitment (age 1) estimates back to 1956, based on the catch  
147 information for a particular cohort in respective years.

148

#### 149 **Spawner biomass based on egg abundance**

150 Spawning areas for sprat in the Baltic Sea include the Baltic Proper and the western and  
151 central parts of the Gulf of Finland (Ojaveer and Kalejs, 2010). Among the different  
152 basins, the coverage of sprat egg abundance data for the years before the 1970s was best  
153 for the Gdansk Deep in SD 26. Earlier investigations have shown that sprat SSB in SD 26  
154 and 28 is significantly correlated to the realized egg production in these areas (Köster *et*  
155 *al.*, 2003b). In this study, the average sprat egg abundance during peak spawning  
156 (number of eggs m<sup>-2</sup> in May–June) in SD 26 (STORE, 2003) was found to be  
157 significantly correlated to the total sprat SSB in the Baltic Sea (SD 22–32), based on data  
158 for 1974–1995 ( $r^2=0.414$ ,  $p<0.01$ ). This regression was used to derive proxies for sprat

159 SSB for selected years in the period 1931–1973, when egg abundance estimates for SD  
160 26 were available (Supplementary material, Table S4). The historical sprat egg  
161 abundance estimates were mostly from Polish ichthyoplankton surveys, supplemented  
162 with data from German surveys in 1931 (Supplementary material, Table S4). Only the  
163 data from May until the first half of July were used, which correspond to peak spawning  
164 (Karasiova, 2002).

165

## 166 **Results**

### 167 **Sprat dynamics in the Baltic Sea in the 1900s –1970s**

168 The extended analytical assessment of sprat in the Baltic Sea (SD 22–32) identified peaks  
169 in SSB in the beginning of the 1960s and 1970s at around 900 kt (Figure 2a;  
170 Supplementary material, Table S5), which is similar to the SSB estimated for most of the  
171 2000s. In the mid-1960s, the SSB was more than 50% lower, at about 400 kt. The  
172 proxies for SSB derived from egg abundance estimates confirmed the relatively higher  
173 sprat SSB in the early 1970s compared to the mid-1960s, although the absolute values for  
174 the early 1970s based on egg abundance estimates were lower than the estimates from the  
175 analytical assessment for these years. For the years 1945–1955, as well as for the late  
176 1930s, egg abundance data suggest a relatively low SSB, at around 300–500 kt. The high  
177 average egg abundance in 1931 corresponds to a SSB at around 850 kt, i.e. similar to the  
178 analytical estimates for the early 1960s and 1970s (Figure 2a).

179 The estimates of sprat SSB relative to landings suggest a low overall exploitation  
180 rate of sprat in the Baltic Sea until the 1960s, when it gradually started to increase,  
181 corresponding to an increase in total landings (Figure 2b). In the period of low



182 exploitation rate, sprat landings by both Germany and Poland, which were the leading  
183 sprat fishing countries in the Baltic Sea at that time, peaked in the first half of the 1930s  
184 (Figure 3). Polish landings in the early 1930s were comparable to those in the early 1960s  
185 (Figure 3), in line with a similar biomass estimated for the two time periods (Figure 2a).  
186 Sprat landings in the period from the late 1930s to the 1960s were low (Figure 3), in line  
187 with relatively low sprat egg abundance in these years (Figure 2a). Sprat landings in the  
188 beginning of the 1900s were comparable to those in the early 1930s, according to both  
189 Polish and German fisheries statistics (Figure 3).

190         In the years 1956–1974, the strongest year classes were formed in 1955, 1957,  
191 1959, and 1967 (age 1 in subsequent years; Figure 2a; Supplementary material, Table  
192 S5). Average weight of sprat underwent an increasing trend from the 1950s to the 1990s  
193 in all age groups, after which weights dropped to their present low level (Figure 4). The  
194 average weight of young sprat (age groups 2–3) in the 1950s was as low as in the 2000s;  
195 however, the weight of older age classes (3+) was substantially higher in the 1950s  
196 compared to recent decades.

197

### 198 **Area-specific developments in sprat spawner biomass**

199 The magnitude and timing of changes in sprat SSB during the last five decades involve  
200 spatially distinct patterns, demonstrated by the area-disaggregated assessments conducted  
201 for three subregions in the Baltic Sea, i.e. SD 22–25, SD 26 and 28, and SD 27 + 29–32  
202 (Figure 5). In the 1960s, the largest biomass of sprat was found in the northern Baltic Sea  
203 (SD 27 + 29–32), after which the SSB in this area drastically declined to a record-low  
204 level in the 1980s. In the period from the 1970s to the 1980s, SSB declined also in SD 26

205 and 28 and in SD 22–25, but less dramatically due to a previously relatively lower  
206 biomass in these areas. SSB in SD 22–25 and SD 26 and 28 started to recover in the  
207 second half of the 1980s, whereas in the northern Baltic Sea (SD 27 + 29–32), the  
208 biomass did not increase until the 1990s. In the mid-1990s, SSB reached a peak in all  
209 three subregions, resulting in a record-high overall stock level in the Baltic Sea. The  
210 biomass in SD 22–25 in the mid-1990s was particularly outstanding, being several-fold  
211 higher than in any other time-period from the 1960s to the present. From the second half  
212 of the 1990s to the 2000s, SSB in SD 22–25 rapidly declined. In contrast, the biomass in  
213 SD 26 and 28 and SD 27 + 29–32 has been relatively stable, exhibiting only a minor  
214 decline since the mid-1990s to the present (Figure 5).

215

## 216 **Discussion**

### 217 **General uncertainties in historical fish biomass estimates**

218 Estimates of historical fish biomass are almost always and inevitably associated with  
219 larger uncertainties compared to modern stock assessments. Modern assessments of fish  
220 stock status involve international systematic data collection programmes designed to  
221 support scientific advice on the management of the stocks. Data for pre-assessment years  
222 are most often fragmentary and incomplete, collected for different purposes and  
223 potentially difficult to interpret due to issues such as technological developments in  
224 fisheries and changes in data collection methods (Ojaveer and MacKenzie, 2007;  
225 Engelhard, 2008; Alexander *et al.*, 2011). Nevertheless, there is a growing interest  
226 worldwide to recover evidence of the historical biomass of marine animal populations,  
227 and dedicated scientific programmes and expert groups have been formed to tackle this

228 task (Pitcher, 2001; Holm, 2003; ICES, 2010). Despite the challenges involved, empirical  
229 evidence from the past is extremely valuable for developing baselines for population  
230 abundance and distribution (Van Keeken *et al.*, 2007; Hardt, 2009; Lotze and Worm,  
231 2009). Furthermore, a long-term perspective can help to gain knowledge of the ecosystem  
232 responses to various combinations of anthropogenic pressures and environmental drivers,  
233 other than those observed during the few recent decades covered by routine stock  
234 assessments (Cardinale *et al.*, 2010; Eero *et al.*, 2011). In general, estimates of historical  
235 fish biomass are intended to mainly be used to understand broad ecosystem dynamics,  
236 while they may be less suited to provide point estimates of annual stock sizes, which is  
237 the purpose of modern stock assessments. It is important that differences in the quality  
238 and purpose of historical and modern stock estimates are recognized and that historical  
239 estimates are used for purposes that match the expected uncertainties in the estimates.

240

#### 241 **Sprat stock estimates from the extended analytical assessment**

242 Input data used in the analytical assessment to extend the biomass and recruitment  
243 estimates of the Baltic sprat from 1974 back to 1960 and 1956, respectively, covered the  
244 main distribution area of the stock (Supplementary material, Tables S2 and S3).  
245 Information on age composition of landings was available only from Poland, and the  
246 former Soviet Union and German Democratic Republic; however, these countries  
247 combined took from 65 to >90% of the total sprat landings in the Baltic Sea at that time.  
248 The Baltic sprat fishery in the 20<sup>th</sup> century has been conducted using a variety of fishing  
249 gears including nets, purse-seines, and bottom trawls. In the early 1960s, the pelagic  
250 trawls became dominant (Thurow, 1974). Therefore, the age structure of sprat landings in

251 the years included in the analytical assessment is not expected to be seriously influenced  
252 by differences in gear selectivity. A general problem for estimating fish biomass using  
253 commercial catches is the accuracy of the reported catch statistics, which generally do not  
254 include discards, recreational catch, and unreported landings, which combined can, in  
255 some cases, form a substantial component of the total removals. For the Baltic Sea, a  
256 recent attempt to reconstruct total fish removals back to 1950 did not reveal substantial  
257 unreported landings or discards of sprat in the 1950s–1970s (Zeller *et al.*, 2011), which  
258 would change the perception of stock size in these years.

259 A usual source of uncertainty in most fish stock assessments is natural mortality,  
260 which is often assumed constant over time. In the Baltic Sea, natural mortality of sprat  
261 used in ICES assessments is estimated based on the diet composition of cod, i.e. the main  
262 predator of sprat, and the resulting M values are strongly correlated with cod biomass in  
263 the eastern Baltic Sea. The M values used in the extended assessment for the 1950s–  
264 1970s are based on the assumption that cod was also the main predator of sprat at that  
265 time. Other potential predators of the Baltic sprat include seals, whose abundance in the  
266 1950s–1970s was higher compared to the 1970s–1990s, although at a similar level as in  
267 recent years (MacKenzie *et al.*, 2011b), and much lower compared to their abundance  
268 before the 1940s (Harding and Härkönen, 1999). The seal-induced natural mortality on  
269 Baltic sprat is thus not considered to have been substantially higher in the 1950s–1970s  
270 than at present. In the area-disaggregated assessments, additional uncertainty is  
271 introduced by the spatially explicit relative natural mortality rates, which were assumed  
272 similar in the 1950s–1970s to those estimated for the 1970s–2000s. Further, the approach  
273 of performing separate assessments for different subregions does not explicitly take into

274 account redistribution of the stock during the year in relation to spawning and feeding  
275 migrations (Köster *et al.*, 2001), as stock distribution back in time is determined only by  
276 catch-at-age data. Nevertheless, this approach has been shown to reasonably capture the  
277 major area-specific developments in the Baltic sprat (Köster *et al.*, 2001).

278

### 279 **Indications of sprat stock size from egg abundance and fishery landings**

280 Proxies for sprat spawning-stock biomass derived from egg abundance data are probably  
281 associated with relatively larger uncertainties compared to the analytical estimates. The  
282 egg production method (Parker, 1980; Lasker, 1985) has frequently been used to estimate  
283 spawning-stock biomass of short-lived pelagic species. However, the method generally  
284 uses detailed information on parameters such as daily egg production rate, total seasonal  
285 egg production, and fecundity (Kraus and Köster, 2004), which were not available for the  
286 Baltic sprat for the historical time-period. Consequently, average egg abundance during  
287 peak spawning was used as a proxy for spawning stock size. Egg abundance estimates  
288 included in the analyses were only from the Gdansk Deep (SD 26), and the resulting SSB  
289 estimates may thus not be fully representative of stock size in the entire Baltic Sea.

290 However, the SSB in SD 26 and 28 was strongly correlated with the SSB in the entire  
291 Baltic Sea (SD 22–32) ( $r^2=0.804$ ,  $p<0.001$ ) in the years covered by the analytical  
292 assessment (1960–2010). Another source of uncertainty in the SSB estimates based on  
293 egg abundance is the relatively low number of sampling stations for some years. The SSB  
294 estimate for 1931 should particularly be treated with caution as it is based on data from  
295 only four stations (Supplementary material, Table S4). However, the approximate SSB

296 corresponding to average egg abundance in 1931 is supported by fisheries landings in the  
297 early 1930s.

298         In general, care should be taken when interpreting changes in fish landings, as  
299 these can be due to changes in fishing effort, technological developments, or market  
300 demand, in addition to changes in stock size. However, for stocks characterized by large  
301 fluctuations in recruitment production, such as sprat in the Baltic Sea, the many-fold  
302 fluctuations in landings (Figures 2b and 3) at short time-scales can hardly be explained by  
303 fishery developments alone (Elwertowski, 1979). The longest time-series of sprat  
304 landings were available for Germany and Poland, countries that took, by far, the largest  
305 proportion of the relatively high sprat landings in the first half of the 1930s. In the early  
306 1930s, after the introduction of pair trawls (Meyer, 1942), both German and Polish  
307 fishers started to target sprat schools offshore (Kändler, 1949). A similar level of landings  
308 reported in the early 1930s as in the early 1960s in the Polish fisheries suggest that stock  
309 size in the two time-periods was similar, or could have been larger in the 1930s, when  
310 taking into account technological developments in these decades. Furthermore, sprat  
311 landings per vessel per day in the Polish fisheries in winter 1932/1933 were more than  
312 tenfold higher than in the mid-1950s (Elwertowski, 1957, 1979), which supports a  
313 relatively high sprat stock in the early 1930s.

314         Both German and Polish sprat landings were also relatively high in the early  
315 1900s, when fishing technology was much less developed. Major technological  
316 developments in German sprat fisheries took place in 1918 with the introduction of the  
317 purse-seine. Before that, sprat was caught with nets (Meyer, 1947), whereas from the  
318 1930s onwards, the fishery was mainly conducted with trawls (Meyer, 1942). Levels of

319 landings in the early 1900s similar to those in the early 1930s suggests that stock size in  
320 these two periods was at least similar, or could have been larger in the early 1900s;  
321 however, no additional information is available to validate this.

322

### 323 **Potential applications for the extended time-series of sprat dynamics**

324 Previous studies addressing the development of sprat in the Baltic Sea in pre-assessment  
325 years have identified differences in year-class strength (Elwertowski, 1960), suggested  
326 time-periods of relative fluctuations in stock size (e.g. Elwertowski, 1957, 1979), and  
327 estimated biomass in parts of the Baltic Sea (Aps, 1989). The results of this study support  
328 the previous findings concerning (i) strong year classes formed in 1955, 1957, 1959, and  
329 1967 (Kalejs and Ojaveer, 1989); (ii) a large biomass in the northeastern Baltic Sea in the  
330 early 1960 (Aps, 1989); and (iii) relatively high sprat landings in the early 1930s  
331 (Elwertowski, 1960). The main contribution of this study is integrating the fragmentary  
332 and qualitative information on historical stock developments into quantitative estimates  
333 covering the entire Baltic Sea, including the spatially resolved estimates, when possible.

334 The population structure of sprat in the Baltic Sea is not well understood (Ojaveer  
335 and Kalejs, 2010 and references therein). However, distinct developments in biomass and  
336 recruitment by subregions are apparent (Köster *et al.*, 2001). This was already recognized  
337 in the 1980s, when the Baltic sprat was assessed separately by three subregions, which  
338 was considered a compromise between the biological and practical aspects (Sjöstrand,  
339 1989; Ojaveer and Kalejs, 2010). The extended time-series of sprat dynamics covers  
340 different environmental conditions (Fonselius and Valderrama, 2003) and cod abundance  
341 (Eero *et al.*, 2007, 2008), both in time and space. This facilitates new analyses of the

342 relative importance of climate and cod predation and their interactions to determine sprat  
343 dynamics in the Baltic Sea. Resolving the impacts of climate and being able to predict  
344 future biomass is considered vital for the management of species with highly variable  
345 production rates, such as sprat in the Baltic Sea (MacKenzie *et al.*, 2008). New  
346 information on sprat dynamics in the past could be useful for improving and validating  
347 the models of stock development under future climate change (MacKenzie *et al.*, 2007b).

348         Several human pressures, which probably influence sprat in the Baltic Sea, have  
349 intensified during the 20<sup>th</sup> century. These include a substantial increase in nutrient loads  
350 from the 1950s to the 1980s (Wulff *et al.*, 1990). Further, fishing pressure on sprat was  
351 low until the development of pelagic fisheries in the 1960s (Figure 2b). Little is known  
352 about how fishing interacts with other drivers on sprat. Also, it is unclear how increased  
353 nutrient concentrations influence the production of planktivorous fish in the Baltic Sea.  
354 New information on sprat stock dynamics extending back to the onset of these major  
355 human impacts could allow separating their effects from the impacts of climate and cod  
356 predation. Understanding the effects of anthropogenic drivers in combination with  
357 biological interactions and climate forcing is important in relation to the management  
358 goals for the Baltic Sea, which, amongst others, include a reduction in nutrient loads and  
359 implementation of sustainable fisheries (HELCOM, 2007).

360         In addition, the European Commission is currently aiming to take into account  
361 biological interactions in the new fisheries management plans being developed for the  
362 Baltic Sea. Sprat is one of the key species in the central Baltic foodweb as a major prey  
363 item for predatory fish, such as cod (Sparholt, 1994), and a predator on cod eggs (Köster  
364 and Möllmann, 2000). Further, through regulation of zooplankton and competition with



365 the pelagic life stages of other species (such as herring, early life stages of cod) for  
366 zooplankton resources, sprat can be an important driver of the overall foodweb dynamic  
367 in the central Baltic Sea (e.g. Casini *et al.* 2009). In conclusion, new quantitative  
368 evidence of sprat dynamics under various combinations of natural and human drivers can  
369 contribute to developing an ecosystem-based approach and setting long-term  
370 management strategies for the Baltic Sea.

371

## 372 **Supplementary material**

373 Supplementary material is available at the ICESJMS online version of this paper. Section  
374 A provides information on literature sources and coverage of the input data used to  
375 extend the time-series of stock estimates of Baltic sprat. Section B provides details on the  
376 estimation of natural mortality of sprat, used in the extended analytical assessment.  
377 Section C includes the extended time-series of sprat spawner biomass and recruitment.

378

## 379 **Acknowledgements**

380 This study received funding from the European Community's 7<sup>th</sup> Framework Programme  
381 (FP/2007–2013) under grant agreement No. 217246 made with the Joint Baltic Sea  
382 Research and Development Programme BONUS within the ECOSUPPORT project; and  
383 under grant agreement No. 266445 for the project Vectors of Change in Oceans and Seas  
384 Marine Life, Impact on Economic Sectors (VECTORS). The results of this study  
385 contribute to the FP7 project FACTS (024966). The author thanks Evald Ojaveer, Fritz  
386 Köster, and Stefan Neuenfeldt for providing access to some datasets used in this study,  
387 and Brian MacKenzie for valuable comments on the manuscript and for supporting this

388 work. The efforts of ICES working groups over past decades producing the data utilized  
389 in this paper are greatly acknowledged.

390

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- 649

650 **Figure captions**

651 **Figure 1.** Map of the ICES subdivisions in the Baltic Sea.

652

653 **Figure 2.** (a) Sprat spawning-stock biomass (SSB) and recruitment (R; numbers at age 1)  
654 in SD 22–32 estimated from the analytical assessment (VPA); and the estimates of SSB  
655 based on egg abundance. The error bars represent 0.95 confidence intervals of the SSB,  
656 predicted from a linear regression with the average egg abundance as a predictor variable.  
657 (b) International sprat landings (L) in the Baltic Sea (Hammer *et al.*, 2008 and updates  
658 from the Baltic Assessment Working Group) together with the estimated exploitation rate  
659 (landings divided by SSB). The vertical broken lines separate the time-period covered by  
660 ICES assessments (from 1974 onwards) from the historical estimates produced in this  
661 study.

662

663 **Figure 3.** Baltic sprat landings by Germany (SD 22–26; data from the annual national  
664 report series *Jahresbericht über die Deutsche Fischerei*) and Poland (SD 26; Laszczynski  
665 *et al.*, 1964; Elwertowski, 1979).

666

667 **Figure 4.** Annual mean weight of sprat in the Baltic Sea (SD 22–32) for age groups 2–6.

668

669 **Figure 5.** The spawning-stock biomass of sprat estimated from the area-disaggregated  
670 assessments for SD 22–25, 26 and 28, and 27 + 29–32.

671

672

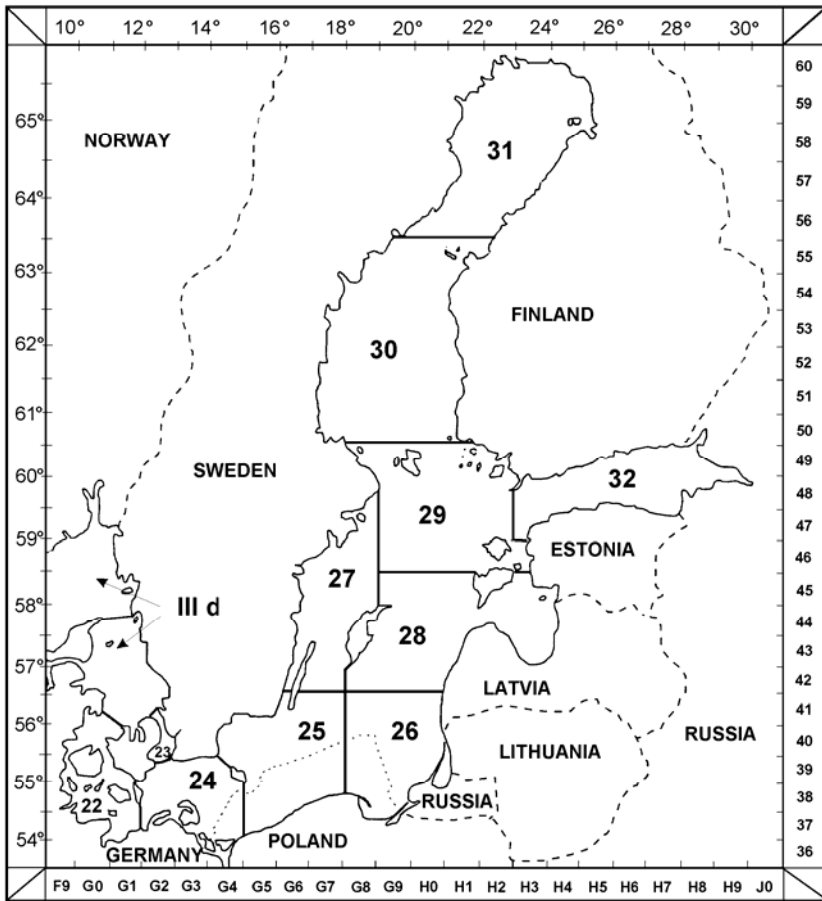


Figure 1

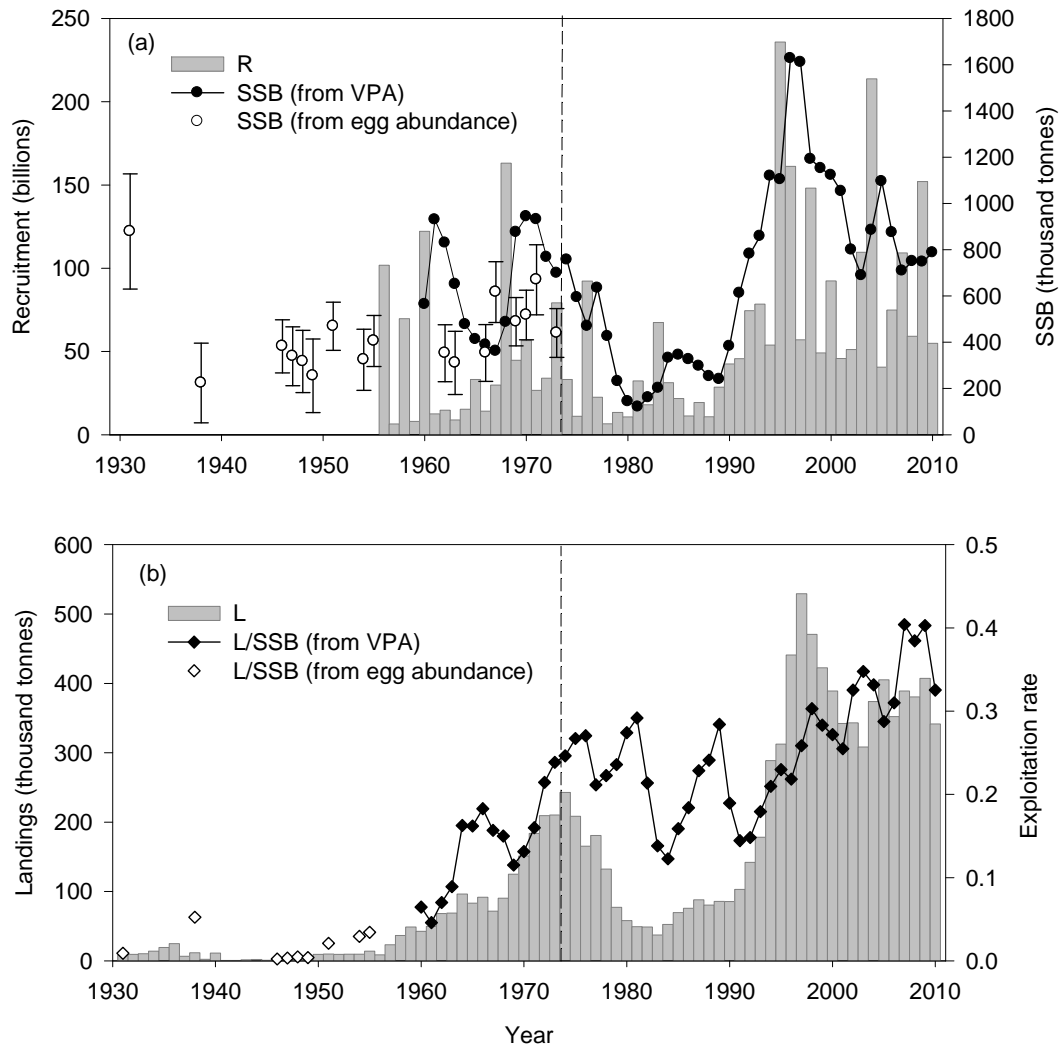


Figure 2



